

# COMP50001: Algorithm Design & Analysis

## Sheet 1 (Week 2)

### Exercise 1.1

Given the following function concatenating two lists,

$$\begin{aligned} (++) &:: [Int] \rightarrow [Int] \rightarrow [Int] \\ [] & \quad ++ ys = ys \\ (x : xs) & ++ ys = x : (xs ++ ys) \end{aligned}$$

with a recurrence relation  $T(n, m)$ , approximate the time it takes to compute  $xs ++ ys$  for any list  $xs$  of length  $n$  and  $ys$  of length  $m$ .

### Exercise 1.2

Consider an alternative strict time analysis function  $T'$ , defined to be the same as  $T$ , except that  $T'$  is refined to have cost 1 instead 0 on variables, constants and primitive functions, i.e.

$$\begin{aligned} T'(x) &= 1 \\ T'(k) &= 1 \\ T'(f) \ x_1 \ \dots \ x_n &= 1 \end{aligned}$$

Compute  $T'(\text{length } xs)$  in terms of  $T'(\text{length } (\text{tail } xs))$ .

### Exercise 1.3

Compute the strict running time  $T(\text{length } (\text{insert } x \ xs))$  using the composition rule.

### Exercise 1.4

Pattern matching can be added to the expression language  $e$  as follows:

$$e ::= \dots \mid \text{case } e \text{ of } [] \rightarrow e; (x : xs) \rightarrow e$$

Give an appropriate definition of  $T(\text{case } e_1 \text{ of } [] \rightarrow e_2; (x : xs) \rightarrow e_3)$  for strict time analysis.

### Exercise 1.5

(ADWH, p39, Exercise 2.3) Prove formally that  $(n + 1)^2 \in \Theta(n^2)$  by exhibiting the necessary constants.

### Exercise 1.6

(ADWH, p39, Exercise 2.5) Justify whether each of the following is true or false:

1.  $2n^2 + 3n \in \Theta(n^2)$
2.  $2n^2 + 3n \in O(n^3)$

3.  $n \log n \in O(n\sqrt{n})$
4.  $n + \sqrt{n} \in O(\sqrt{n} \log n)$
5.  $2^{\log n} \in O(n)$

*Exercise 1.7*

Show formally that  $o(g(n))$  is a proper subset of  $O(g(n))$  for any function  $g$  using their definitions.

*Exercise 1.8*

Explain why there is no definition  $\theta(g(n))$  that corresponds to  $\Theta(g(n))$  even though there is  $o(g(n))$  corresponding to  $O(g(n))$  and  $\omega(g(n))$  corresponding to  $\Omega(g(n))$ .

### Exercise 1.1

Given the following function concatenating two lists,

$$\begin{aligned} (++) &:: [Int] \rightarrow [Int] \rightarrow [Int] \\ [] & ++ ys = ys \\ (x:xs) & ++ ys = x:(xs ++ ys) \end{aligned}$$

with a recurrence relation  $T(n, m)$ , approximate the time it takes to compute  $xs ++ ys$  for any list  $xs$  of length  $n$  and  $ys$  of length  $m$ .

$$T(++ xs ys) = T(m, n) \quad \text{where } m = \text{length } xs \\ n = \text{length } ys.$$

$$T(+) [] ys = 1$$

$$T(+) (x:xs) ys = 1 + T(+) xs ys$$

$$T(0, n) = 1$$

$$T(m, n) = 1 + T(m-1, n)$$

$$= 1 + 1 + T(m-2, n)$$

$$= \dots$$

$$= k + T(m-k, n) \quad (\text{for any } 0 \leq k \leq m)$$

$$=$$

$$= m + T(0, n)$$

$$= m + 1$$

### Exercise 1.2

Consider an alternative strict time analysis function  $T'$ , defined to be the same as  $T$ , except that  $T'$  is refined to have cost 1 instead 0 on variables, constants and primitive functions, i.e.

$$T'(x) = 1$$

$$T'(k) = 1$$

$$T'(f) x_1 \cdots x_n = 1$$

Compute  $T'(\text{length } xs)$  in terms of  $T'(\text{length } (\text{tail } xs))$ .

$$\begin{aligned}
 & T'(\text{length } xs) \\
 &= T'(\text{length}) \text{ } xs + T'(xs) \\
 &= 1 + T'(\text{if null } xs \text{ then } 0 \text{ else } 1 + \text{length } (\text{tail } xs)) + 1 \\
 &= 2 + T'(\text{null } xs) + \text{if null } xs \text{ then } T'(0) \text{ else } T'(1 + \text{length } (\text{tail } xs)) \\
 &= 2 + T'(\text{null}) \text{ } xs + T'(xs) + \text{if null } xs \text{ then } T'(0) \text{ else } T'(1 + \text{length } (\text{tail } xs)) \\
 &= 4 + \text{if null } xs \text{ then } T'(0) \text{ else } T'(1 + \text{length } (\text{tail } xs)) \\
 &= \dots \\
 &= 4 + \text{if null } xs \text{ then } 1 \text{ else } 4 + T'(\text{length}) (\text{tail } xs)
 \end{aligned}$$

### Exercise 1.3

Compute the strict running time  $T(\text{length} (\text{insert } x \text{ xs}))$  using the composition rule.

$$\begin{aligned} & T(\text{length} (\text{insert } x \text{ xs})) \\ = & T(\text{length}) (\text{insert } x \text{ xs}) + T(\text{insert}) x \text{ xs} \end{aligned}$$

### Exercise 1.4

Pattern matching can be added to the expression language  $e$  as follows:

$$e ::= \dots \mid \text{case } e \text{ of } [] \rightarrow e; (x : xs) \rightarrow e$$

Give an appropriate definition of  $T(\text{case } e_1 \text{ of } [] \rightarrow e_2; (x : xs) \rightarrow e_3)$  for strict time analysis.

$$T(\text{case } e \text{ of } \begin{array}{l} [] \rightarrow e_1 \\ (x : xs) \rightarrow e_2 \end{array}) =$$

$$\begin{aligned} & T(e) + \text{case } e \text{ of} \\ & \quad [] \rightarrow T(e_1) \\ & \quad (x : xs) \rightarrow T(e_2) \end{aligned}$$

Exercise 1.5

(ADWH, p39, Exercise 2.3) Prove formally that  $(n+1)^2 \in \Theta(n^2)$  by exhibiting the necessary constants.

$$\Theta(g(n)) = O(g(n)) \cap \Omega(g(n))$$

$$O(g(n)) = \{ f \mid \exists \delta > 0. \exists n_0 > 0. \forall n > n_0. f(n) \leq \delta \cdot g(n) \}$$

$$\Omega(g(n)) = \{ f \mid \exists \delta > 0. \exists n_0 > 0. \forall n > n_0. f(n) \geq \delta \cdot g(n) \}$$

$$f(n) = (n+1)^2$$

$$g(n) = n^2$$

$$f(n) \leq \delta \cdot g(n)$$

$$(n+1)^2 \leq \delta n^2$$

$\Leftrightarrow$

$$n^2 + 2n + 1 \leq \delta n^2$$

$\Leftrightarrow$

$$0 \leq (\delta - 1)n^2 - 2n - 1$$

$$\Leftrightarrow \{ \text{assume } \delta = 4 \}$$

$$0 \leq (3n+1)(n-1)$$

Exercise 1.6

(ADWH, p39, Exercise 2.5) Justify whether each of the following is true or false:

1.  $2n^2 + 3n \in \Theta(n^2)$  ✓
2.  $2n^2 + 3n \in O(n^3)$  ✓

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3.  $n \log n \in O(n\sqrt{n})$
4.  $n + \sqrt{n} \in O(\sqrt{n} \log n)$
5.  $2^{\log n} \in O(n)$

Exercise 1.7

Show formally that  $o(g(n))$  is a proper subset of  $O(g(n))$  for any function  $g$  using their definitions.

$$\begin{aligned} \forall \delta > 0. \exists n_0 > 0. \forall n > n_0. f(n) < \delta g(n) & \quad o(g(n)) \\ \text{pick } \delta = 1 & \\ \exists \delta > 0. \exists n_0 > 0. \forall n > n_0. f(n) \leq \delta g(n) & \quad \Downarrow \\ & \quad O(g(n)) \end{aligned}$$

Pick  $\delta = n_0 = 1$  in def.  $O(g(n))$

$$\forall n > n_0. g(n) \leq g(n) \quad g \in O(g(n))$$

but  $g \notin o(g(n))$

$$\neg (\forall \delta > 0. \exists n_0 > 0. \forall n > n_0. f(n) < \delta g(n))$$

$$\Leftrightarrow \exists \delta > 0. \forall n_0 > 0. \exists n > n_0. f(n) \geq \delta g(n)$$

Choose  $\delta = 1$

Choose  $n = n_0 + 1$

$$g(n) \geq g(n)$$

Therefore  $g(n) \notin o(g(n))$

### Exercise 1.8

Explain why there is no definition  $\theta(g(n))$  that corresponds to  $\Theta(g(n))$  even though there is  $o(g(n))$  corresponding to  $O(g(n))$  and  $\omega(g(n))$  corresponding to  $\Omega(g(n))$ .

$$o(g(n)) = O(g(n)) \setminus \Theta(g(n))$$

$$\omega(g(n)) = \Omega(g(n)) \setminus \Theta(g(n))$$

$$\underline{\theta(g(n))} = \Theta(g(n)) \setminus \Theta(g(n))$$

$$= \emptyset$$